

1 **Literature Review**

2
3 **A Review of Breath Metabolic Profiling for Non-invasive Testing in Inflammatory**
4 **Bowel Disease Patients.**

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20 **Source of submission:** Assignment

21
22 **160 Character summary:** This review summarises the current literature on volatile organic
23 molecule breath testing as a potential avenue for safer and more reliable diagnosis and
24 monitoring of inflammatory bowel disease.

25
26 **Keywords:** IBD, biomarkers, diagnosis, monitoring.

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31

1 **Abstract**

2

3 This review aims to summarise the current literature on employing exhaled breath volatile
4 organic molecules (VOMs) as novel biomarkers for non-invasive testing in inflammatory
5 bowel disease (IBD) patients.

6

7 Inflammatory bowel disease is a multifactorial disease that significantly diminishes the
8 quality of life of affected individuals. Currently, the tools employed in IBD diagnosis and
9 monitoring are numerous, imprecise and invasive for patients. This has necessitated the need
10 to develop new biomarkers that are accurate. The use of VOM breath testing is one such
11 potential modality. This review discusses the efficacy of current IBD testing modalities and
12 the principles of metabolic profiling. It evaluates the use of breath VOM profiling in IBD
13 testing and postulates its implications for future practice. The VOM profiles of IBD patients
14 are different to those of healthy individuals. VOM profiles also differ between IBD
15 subcategories and correlate to disease severity. VOM profiling via the breath headspace is
16 accurate, non-invasive and has the potential for point-of-care testing. VOM profiling offers
17 an exciting avenue as a frontline diagnostic and monitoring tool for IBD patients and thus
18 merits further research.

Corrected Proof

1 Introduction

2
3 Inflammatory bowel disease (IBD) is a chronic, relapsing inflammatory condition of the
4 gastrointestinal tract, comprising of two subcategories: Crohn's disease (CD) and ulcerative
5 colitis (UC) [1,2]. While both forms have commonalities in their clinical presentation, mainly
6 abdominal pain, diarrhoea and weight loss, CD and UC can be differentiated by their
7 histological features and extent of colonic involvement. CD can affect the entirety of the
8 gastrointestinal tract and is characterised by discontinuous transmural lesions. In UC,
9 inflammation is continuous, affecting only the superficial mucosal layer of the large intestine
10 [3,4].

11
12 The pathophysiology of IBD is largely elusive. It is thought to arise from an inappropriately
13 heightened mucosal immunological response to environmental stimuli in individuals who are
14 genetically predisposed to the condition [5,6].

15
16 Currently, there are no gold standards for IBD diagnostic and monitoring tools [7].
17 Traditional approaches to diagnosis involve eliciting a detailed patient history along with
18 physical examination, serology, faecal biomarker investigations, imaging studies, endoscopic
19 investigations and histology [8]. This regime is substandard for patients due to its invasive
20 nature. Furthermore, there are often high rates of misdiagnosis, delayed diagnosis and
21 incorrect sub-categorization [8,9].

22
23 The highly debilitating nature of IBD, increasing incidence and subsequent healthcare
24 expenditure inflation necessitates the development of new diagnostic and monitoring
25 modalities [2,3]. One such modality is the use of metabolic profiling. This involves the
26 analysis of volatile organic molecules (VOMs) using urine, stool and exhaled breath samples
27 [6]. There is surmounting evidence that metabolic profiling can be used to successfully
28 diagnose conditions, in which there is increased oxidative stress. The emerging technology
29 has currently been employed in the diagnosis of breast cancer, lung cancer, diabetes, and
30 tuberculosis, so it offers great potential as a diagnostic modality for gastrointestinal diseases
31 [9].

32
33 This review will first focus on current clinical practice before evaluating the use of exhaled
34 VOMs as novel biomarkers for IBD diagnosis and management.

1 **Current efficacy of IBD diagnostics**

2
3 Physicians often face difficulty in diagnosing IBD based on clinical presentation alone, given
4 that symptoms of IBD can overlap with those for irritable bowel syndrome (IBS) [1].
5 Consequently, few patients being referred for endoscopic evaluation have IBD [10], with up
6 to 50% of patients with IBS being referred for unnecessary endoscopic evaluation [11]. To
7 complicate things further, IBD diagnosis is often missed or delayed. Since timely treatment is
8 critical to halt disease progression, a mean diagnostic delay of nine months places IBD
9 patients at a greater risk of surgical intervention [12]. Once a diagnosis of IBD has been
10 made, sub-categorisation into CD or UC can pose another challenge for physicians, with
11 subcategory reclassification rates of 10% [7]. In 10-15% of patients, sub-categorisation is
12 indiscernible, which results in an undifferentiated diagnosis of indeterminate colitis [7,13].
13 Accurate sub-categorisation is essential in establishing a prognosis, evaluating a patient's risk
14 of complications and implementing optimal management strategies [6,7]. Incorrect diagnosis
15 can lead to diminished quality of life and places a heavy burden on the healthcare system
16 [14]. This underpins the need for a non-invasive and accessible tool to prioritise patients for
17 colonoscopy and reduce unnecessary investigations in those with IBS.

18 **Current diagnostic and monitoring tools in clinical practice**

19
20
21 Endoscopic investigations and subsequent histological findings are most often used in clinical
22 practice to diagnose and monitor disease activity in patients with IBD. Colonoscopies are
23 favourable for diagnosis as physicians are able to simultaneously diagnose IBD via biopsy,
24 investigate its complications and remove colonic polyps. For the patient, these investigations
25 are invasive due to bowel purgation and the need for anaesthetic. As such, frequent
26 endoscopic evaluations are not suitable for monitoring disease activity [15]. Furthermore,
27 endoscopic procedures do not come without risk [7]. Gastrointestinal perforation occurs in
28 4.5-9.7 cases per 10,000 patients during such investigations [16].

29
30 Radiological assessment is often used in IBD diagnosis and monitoring [7]. While CT
31 scanning is accessible and minimally invasive, it exposes a typically young cohort of patients
32 to ionizing radiation. As such, repeated scanning to monitor disease activity should be
33 avoided. The quality of imaging can be limited by the intraluminal localisation of contrast.
34 Likewise, ultrasound is dependent upon sonographer experience as it is difficult to follow the
35 entirety of the intestines [5]. MR enterography is often used to monitor disease activity and
36 response to treatment for IBD patients. It is favourable in that it gives physicians a full
37 transmural view of the bowel wall, as well as extra-intestinal complications, and does not
38 expose patients to radiation; however, it is problematic for patients who are claustrophobic
39 and risky in those with renal insufficiency due to the need for large volumes of contrast [17].

40
41 Active periods of IBD are accompanied by an immune response that is detectable by blood-
42 based biomarkers, including C-reactive protein (CRP) and erythrocyte sedimentation rate
43 (ESR). These serological tests can be used to confirm diagnosis and for monitoring response
44 to treatment. They are cheap, rapid and easily accessible [2]. CRP is produced by hepatocytes
45 in response to pro-inflammatory cytokines and its short half-life makes it a responsive
46 indicator of acute inflammation [18]. Being a systemic marker of inflammation, CRP is non-
47 specific and can rise in response to a range of inflammatory conditions [1,9]. Conversely, up
48 to 50% of patients with endoscopically active IBD do not have an elevated CRP level [19].
49 Since CRP only rises during active inflammation, diagnosis can be missed in those with
50 quiescent IBD [11]. ESR, another systemic inflammatory indicator, rises due to an increase in

1 plasma viscosity as a result of acute phase protein generation. ESR peaks later than CRP and
2 is more indicative of chronic inflammation. It is, unfortunately, equally non-specific and can
3 be affected by haematocrit, reducing its accuracy [18].
4

5 Stool samples can be analysed for white cell proteins [9]. They are cost-effective
6 investigations and highly specific for inflammatory conditions localised to the bowel [19].
7 Classified as a danger-associated molecular pattern, faecal calprotectin is a protein derived
8 from neutrophils, monocytes and macrophages during inflammation [18]. It is raised in IBD
9 and sensitive for differentiating IBD from healthy controls, but it can be raised in
10 gastrointestinal infections and as a result of non-steroidal anti-inflammatory drug use [1].
11 Notably, 8% of patients receive false negative results and not all IBD patients have raised
12 faecal calprotectin [11]. Another stool-based biomarker, faecal lactoferrin, an iron-binding
13 protein released upon neutrophil degranulation, is also increased in IBD. Reluctance of
14 patients to provide samples, storage of faeces outside of optimal temperature, high intra-
15 individual variability and false positive results reduce its effectiveness as a diagnostic and
16 monitoring tool [1].
17

18 **The principles of metabolic profiling**

19
20 Volatile organic molecules are disease-specific gas phase biomarkers that characterise the
21 interactions of colonocytes with intestinal microbiota and pathogens [8]. While VOM
22 profiling is in its early stages of development, it is clear that the VOM profiles of IBD
23 patients are different from those of healthy individuals. This is thought to result from the
24 associated alterations in metabolic processes, inflammatory changes and microbial dysbiosis
25 of IBD [14,16]. Profiles also differ between IBD subcategories, with many VOMs being
26 upregulated in CD, allowing for subcategory classification. This most likely reflects the
27 transmural nature and potentially greater extent of inflammation associated with CD [14].
28 There is also evidence that these biomarkers differ during periods of active disease and
29 remission in patients with previously diagnosed IBD.
30

31 Intestinal VOMs can be excreted in faeces and urine or transported via the bloodstream into
32 the lungs and exhaled in breath [3]. VOMs are identified by gas chromatography or via a
33 selective ion flow tube and measured by mass spectrometry or flame ionisation [7]. While
34 VOMs can be measured from all three samples, patients are often reluctant to provide urine
35 or stool samples and collection may not be feasible in patients experiencing diarrhoeal
36 symptoms [6]. Testing is also highly dependent upon sample storage, since temperature and
37 contamination can compromise sample quality [1,2]. Metabolic profiling via breath samples
38 is advantageous due to many factors (Table 1). Breath samples can be obtained
39 spontaneously via a non-invasive process that is comfortable and convenient for patients and
40 where storage issues are non-existent. Other advantages of using breath samples is that
41 patients are not exposed to ionising radiation and bowel preparation or contrast is not
42 required [6]. As such, breath testing offers a more patient-centred approach to IBD diagnosis
43 and monitoring. It is more likely to be accepted by patients, improving compliance and
44 satisfaction, as well as generating more accurate results than other VOM profiling
45 headspaces. For these reasons, breath samples offer the most promising avenue of metabolic
46 profiling for IBD diagnosis and will be the focus of this review.
47

48 **Distinguishing IBD patients from healthy controls**

1 The most widely studied exhaled VOMs are alkanes. These include pentane, butane, ethane,
2 and propane. Like many VOMs, alkanes are produced as a result of excessive reactive
3 oxygen species-induced lipid peroxidation during an inflammatory response [1,14]. A study
4 led by Pelli et al. [20] revealed significantly increased ethane, propane and pentane
5 concentrations in the exhaled breath of IBD patients compared to healthy controls. Another
6 breath analysis of 487 VOMs showed that increased exhaled alkanes were unique to IBD
7 patients. In this study CD active individuals and healthy controls were differentiated with a
8 sensitivity of 96% and a specificity of 97%. Heptadecane was upregulated in CD groups
9 compared to healthy controls, 2,2,4-trimethylpentane was higher in CD active groups
10 compared to CD remission and healthy controls and 2,2,4,4-tetramethyloctane and 2,4,4-
11 trimethylhexane were higher in active CD than remission. This study also implicated
12 aldehydes as a potential VOM biomarker for IBD diagnosis. It found that breath samples of
13 IBD patients contained higher aldehyde concentrations than healthy controls [1]. This is
14 consistent with results from Hicks where exhaled butanal and nonanal were increased in both
15 CD and UC, with CD patients having the highest concentrations across all cohorts [6]. Patel
16 et al.'s [2] study did find, however, that hydrogen sulphide concentrations were reduced in
17 IBD patient breath samples compared to healthy controls, with significance in a paediatric
18 population. These results were consistent with studies on adult IBD populations by Hicks et
19 al. and Reider et al. [6,12]. However, since hydrogen sulphide is produced by the intestinal
20 microbiota, it may not be suitable to differentiate between IBD and IBS, limiting its use [6].
21

22 **Distinguishing IBD patients from IBS patients**

23
24 Condensed cytokines, namely interleukins, such as IL-1B, IL-6, IL-8, and tumour necrosis
25 factor alpha (TNF-a) were in greater abundance in the exhaled breath of IBD patients
26 compared to healthy controls [21]. Since immune cells release these pro-inflammatory
27 cytokines during intestinal inflammation, cytokine profiling is a potential avenue for IBD
28 diagnosis, particularly when distinguishing IBD from non-inflammatory conditions such as
29 IBS. A 2017 study showed that an IL-1B and a TNF-like cytokine were increased in IBD
30 patients compared to IBS patients, distinguishing them with a sensitivity of 50% and
31 specificity of 80%. [22]
32

33 **Distinguishing CD patients from UC patients**

34
35 Dryahina et al. [3] showed significant differences between the exhaled pentane
36 concentrations of CD and UC patients, with CD patients having much higher readings,
37 although sensitivity and specificity were low. Patel et al. [2] showed that the more
38 widespread the intestinal inflammation of IBD patients, the higher exhaled pentane
39 concentration. They found that exhaled pentane levels were higher in CD patients with
40 known ileocolonic disease, and thus more extensive inflammation, compared to UC. As such,
41 it can be said that exhaled pentane has the potential to offer greater clinical value as a
42 biomarker to diagnose IBD, differentiate between CD and UC, and determine disease
43 location in patients with known CD. However, the only known study on paediatric breath
44 testing shows that these correlations are not significant in children [2]. Further studies are
45 needed to establish the efficacy of pentane as a biomarker in paediatric IBD diagnosis.
46

47 **Use in IBD management**

48 The use of metabolic profiling is not limited to IBD diagnosis. Pentane has been found to
49 correlate with the severity of inflammation seen in IBD. IBD patients with severe intestinal
50 inflammation was confirmed by imaging studies showed much greater exhaled pentane

1 concentrations (4.3 nmol/L) than those with moderate inflammation (3.1 nmol/L) and than
2 those with absent inflammation (2.1 nmol/L) [23]. It has also been demonstrated that VOM
3 levels normalise following treatment and where remission is achieved. Walton's [24]
4 multivariate analysis on faecal VOMs of bacterial origin found that, following therapy, IBD
5 VOM profiles normalised to levels found in healthy controls. As such, metabolic profiling is
6 a promising individualised and non-invasive approach of mapping disease activity and
7 monitoring responsiveness to treatment. Future studies should be pursued in this context, as
8 metabolic profiling of breath samples would provide great clinical value in facilitating the
9 management of IBD patients, leading to better health outcomes for these individuals.

11 **Implications for future practice**

12
13 The use of VOMs as an investigative modality for IBD has the potential to relieve the
14 burdens associated with current diagnostic and monitoring regimes. While metabolic
15 profiling should not replace current diagnostic modalities, it may have a role in distinguishing
16 IBS from IBD, thus prioritising patients for endoscopic evaluation, speeding up the
17 diagnostic process and reducing the number of unnecessary colonoscopies currently seen in
18 clinical practice. It may also offer an alternative to current monitoring tools in patients with
19 established IBD. However, despite surmounting evidence that metabolic profiling can be
20 used to distinguish healthy adults and IBS patients from those with IBD, its use is limited by
21 minimal studies (Table 1). It is unclear whether metabolic profiling can be applied to a
22 paediatric cohort. Furthermore, it has not been fully established whether it can provide
23 sufficient discrimination between CD and UC. It has not been fully established if VOM
24 profiles can accurately follow disease progress. Limited studies report the effects of
25 antibiotics and/or other drugs or having other inflammatory conditions on VOM profiles.
26 Currently, traditional VOM testing modalities cannot be employed at the bedside. Further
27 research of VOMs is needed and may lead to the development of timely and non-invasive
28 point-of-care testing for all patients. A 2015 preliminary study utilised a field asymmetric ion
29 mobility spectroscopy (FAIMS) portable device to detect VOMs in the exhaled breath of 76
30 patients. Patients with IBD were distinguished from healthy controls with a sensitivity and
31 specificity of 74% and 75%, respectively, while differentiating between CD and UC with a
32 specificity and sensitivity of 67%. Since FAIMS technology is 10-20% of the cost of
33 traditional VOM testing devices, the study manifests the potential of this technology to
34 provide much needed non-invasive point-of-care bedside testing for IBD patients [8].

1 **Conclusion**

2
3 Inflammatory bowel disease is a multifactorial, debilitating disease of the gastrointestinal
4 tract. Current tools employed in IBD diagnostics and monitoring are substandard with notable
5 limitations, placing a heavy physiological and psychological burden on patients. Analysing
6 the VOM composition in the exhaled breath of IBD patients is a highly promising approach
7 that has the potential to be used in clinical practice. While complementing existing diagnostic
8 tools, VOMs may be employed in the future as a means of delivering non-invasive point of
9 care testing for IBD diagnosis, monitoring disease activity and responsiveness to treatment.
10 VOMs such as alkanes, aldehydes, hydrogen sulphide, and cytokines have been implicated as
11 potential biomarkers, with concentrations correlating to disease activity in adult IBD patients.
12 While it is known that VOM concentrations differ with IBD severity, it is unclear if a single
13 VOM biomarker that is subcategory-specific exists in the breath headspace of patients. In the
14 future, further understanding of VOMs may lead to the identification of such CD-specific or
15 UC-specific biomarkers, which would be revolutionary to clinical practice. This may help
16 prioritise patients and reduce the current diagnostic delay experienced by some patients,
17 leading to a restoration of their quality of life, which is of paramount importance. Moreover,
18 the VOM profiles of paediatric patients differ to those of adults and more studies are needed
19 to ascertain the efficacy of profiling in these patients. The preliminary evidence implicates
20 breath metabolic profiling as an encouraging diagnostic and monitoring modality for IBD.
21 The challenge remains to develop disease activity indices for VOM breath testing that are
22 subcategory-specific in both adult and paediatric populations, with the ability to provide point
23 of care testing. If achieved, VOM profiling has the potential to offer patients with IBD much
24 needed optimism regarding the management of their condition and maximise their quality of
25 life.

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- 2
- 3 None.

Corrected Proof

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Table 1. Advantages and disadvantages of VOM profiling via breath samples [6].

| ADVANTAGES | DISADVANTAGES |
|---|---|
| Non-invasive (no bowel preparation, anaesthetic, or contrast is required) | The effects of antibiotics and co-morbidities on VOM profiles are not known |
| Does not expose patient to radiation | Not widely studied in paediatric cohorts |
| Minimal risks | |
| Potential for point-of-care bedside testing | |

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