

1 Literature Review

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3 **Efficacy and Safety of Allergen Immunotherapy to Treat House Dust Mite Allergic Asthma in**  
4 **Children.**

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22

23 **160 Character summary of article:** This article compares the efficacy and safety of subcutaneous  
24 and sublingual immunotherapy in the treatment of allergic asthma triggered by house dust mite.

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26 **Keywords:** asthma; allergy; general paediatrics; immunology

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CORRECTED PROOF

1 **Abstract**

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3 Allergic asthma is a significant disease of childhood, of which, house dust mite is the most common  
4 trigger. There have been many investigations into the role of allergen immunotherapy in preventing  
5 the development of allergic asthma, and potentially its treatment following formal diagnosis, as  
6 evidenced by studies demonstrating significant improvements in medication use, asthma symptoms,  
7 and respiratory function. However, there is a paucity of research into specific populations –  
8 significantly, paediatric populations. This article reviews the recent literature regarding the efficacy  
9 and safety of allergen immunotherapy in the treatment of house dust mite-allergic asthma, with a  
10 focus on paediatric populations.

11

12 This review suggests that immunotherapy effectively improves asthma symptoms and severity in  
13 paediatric populations. While adverse reactions may occur, serious or life-threatening reactions are  
14 rare. More research is required to investigate immunotherapy in populations who are polysensitised  
15 or who have severe or uncontrolled asthma – preliminary evidence suggests immunotherapy may  
16 have a role in the treatment of these patients.

17

18 **Key Points**

- 19 1. House dust mite is the most common trigger in allergic asthma, and is near to ubiquitously  
20 present in day to day life.
- 21 2. Subcutaneous and sublingual immunotherapies have demonstrated efficacy in the treatment  
22 of these patients, and safety data demonstrates that serious or life-threatening allergic  
23 reactions are rare.
- 24 3. Allergen immunotherapy should be seriously considered in the management of the asthmatic  
25 child with house dust mite-allergic disease.

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1 **Introduction**

2

3 Asthma is a condition affecting people of all ages that is increasing in prevalence. Approximately  
4 50% of asthmatics have a significant allergic basis to their disease development, with up to 95%  
5 exhibiting a positive skin prick test to one or more allergens [1]. Allergic asthma (AA) is most  
6 commonly identified in people allergic to house dust mite (HDM), specifically *Dermatophagoides*  
7 *farinae* and *Dermatophagoides pteronyssinus* [2]. Asthmatics sensitised to HDM have lower FEV1  
8 and FEV1/FVC ratios on lung function testing than non-sensitised asthmatics [3]. AA often  
9 develops as part of the atopic march in children, along with allergic rhinitis, allergic conjunctivitis  
10 and atopic dermatitis [1-3]. Current first-line management of asthma involves medications that  
11 reduce smooth muscle constriction and airway inflammation, alongside allergen avoidance [1].  
12 However, unlike allergen immunotherapy (AIT), these treatments do not alter the natural history of  
13 the disease [4].

14

15 The appeal of AIT lies in its potential to induce tolerance through repeated exposure to increasing  
16 doses of an allergen, with a “build-up phase” and a “maintenance phase [1]”. AIT produces  
17 significant immunological changes, including increased specific IgG and, to a lesser extent,  
18 decreased specific IgE [5-9]. Originally, allergens were administered via subcutaneous  
19 immunotherapy (SCIT) injections, with subsequent development of sublingual immunotherapy  
20 (SLIT) liquids and tablets. SCIT is delivered in a controlled clinical setting, while SLIT can be  
21 delivered in the patient’s home without clinical supervision and offers appealing ease of  
22 administration in paediatric populations. Current evidence suggests that SLIT is safer than SCIT,  
23 although they appear to be equally effective [4,10,11], but most literature examines adult  
24 populations. This review aims to evaluate the current efficacy and safety evidence of SCIT and  
25 SLIT in the treatment of paediatric HDM-AA.

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## 1 Efficacy of house dust mite immunotherapy to treat asthma

2  
3 The efficacy of HDM immunotherapy, both SCIT and SLIT, in treating AA has been validated.  
4 Three years of immunotherapy is effective in improving asthma symptoms, asthma-free rates,  
5 validated questionnaire scores, and medication use [6,11-15], with the literature demonstrating that  
6 the efficacy of SCIT and SLIT are comparable. SCIT has additionally been shown to significantly  
7 decrease the likelihood of developing additional sensitisations [16]. Longer duration of  
8 immunotherapy is not associated with improved outcomes [12,17] and shorter duration of  
9 immunotherapy tends to observe fewer or non-significant improvements [5,7,18-21]. Unless stated  
10 otherwise, all papers referenced studied monoallergenic HDM-AIT in HDM-allergic populations  
11 not controlled for polysensitivity. The efficacy of SCIT and SLIT is compared in Table 1.

### 12 13 *Paediatric investigations into SCIT*

14  
15 Arroabarren *et al.* [12] investigated 71 children and reported that three years of SCIT significantly  
16 decreased asthma symptom score, medication score, and global symptom and medication score  
17 compared to baseline. Sixty nine percent of asthmatic participants were reported as asthma-free  
18 with normal lung function testing and pharmacology-free for three months [12]. These findings are  
19 supported by a study of 90 children [6], which demonstrated that, compared to placebo, three years  
20 of SCIT resulted in a significantly decreased inhaled corticosteroids (ICS) dose, significantly higher  
21 ICS cessation rate, significantly lower asthma symptom scores and significantly increased peak  
22 expiratory flow (PEF). Another study of 31 children [11,13] confirmed that three years of SCIT  
23 significantly improved their visual analogue scale score by 63%, significantly decreased their total  
24 asthma symptom score by 86% and significantly decreased their total medication score by 82%.

### 25 26 *Paediatric investigations into SLIT*

27  
28 Ozdemir *et al.* [15] demonstrated that in 90 children, three years of SLIT significantly decreased the  
29 number of months per year requiring ICS, the mean daily dose of ICS and the ICS cessation rate,  
30 but longer than three years of SLIT show no significant difference in respiratory outcomes.  
31 Trebuchon *et al.* [14] retrospectively analysed 736 children, in whom the median duration of SLIT  
32 treatment was 3.1 years. Although there was no control group, physicians perceived SLIT to be  
33 efficacious in 83% of participants, and asthma symptoms to have improved in 64%. Compared to  
34 baseline, there were trends of reductions in medication use, including oral antihistamines, ICS,  
35 long-acting beta-agonists and short-acting beta-agonists. Eifan *et al.* [13] and Karakoc-Aydiner *et al.*  
36 [11] demonstrated three years of SLIT significantly improved asthma and medication scores  
37 compared to control; however, there were no differences in lung function. De Bot *et al.* [22] found  
38 two years of SLIT in children lowered dyspnoea/wheeze score compared to placebo, but there was  
39 no difference for dry cough score.

### 40 41 *Adult investigations into SCIT and SLIT*

42  
43 Studies in both adult and limited paediatric populations have found that three years of AIT is  
44 efficacious and that a shorter duration of therapy tends to have fewer significant outcomes [8,17,19-  
45 21,23]. Tabar *et al.* [17] reported on a mixed paediatric/adult population, randomised to three or  
46 five years of SCIT, and reported that three years of SCIT significantly improved asthma symptoms  
47 and asthma-free rates. Blumberga *et al.* [8,23] reported on 42 adults and show that, compared to  
48 control, three years of SCIT significantly improved HDM tolerance and decreased inflammatory  
49 responses. Potter *et al.* [21] reported on two years of SLIT in 48 adults and found no significant  
50 differences compared to placebo for ICS use and clinical outcomes. A study of one year of SLIT in  
51 604 adults [19,20] demonstrated improvements in daily ICS use and ICS cessation rate compared to

1 placebo. There were no statistical differences for any asthma parameters. Virchow *et al.* [7]  
2 reported on six months of SLIT in 834 adults, finding a significantly reduced risk of moderate or  
3 severe asthma exacerbation compared to placebo, but no significant difference in questionnaire  
4 scores.

#### 6 *Efficacy of SCIT and SLIT*

8 Although three years of immunotherapy, whether SCIT or SLIT, has proven efficacious in reducing  
9 medication use and improving asthma symptoms, there is still marked variability in outcomes even  
10 within the same duration of treatment. Studies clarifying optimal dosing and administration,  
11 particularly in paediatric populations, may reinforce whether or not there is a role for SCIT and/or  
12 SLIT in treating HDM-AA in children.

#### 14 **Safety of house dust mite immunotherapy to treat asthma**

16 Inherent in AIT is the potential to induce local or systemic adverse reactions [1,24,25]. The World  
17 Allergy Organisation (WAO) has standardised reporting of SCIT- and SLIT-related adverse  
18 reactions [25]. Local SCIT reactions include erythema, pruritus and injection site swelling, while  
19 local SLIT reactions include mouth/ear, upper gastrointestinal, and lower gastrointestinal reactions.  
20 The spectrum of systemic reactions ranges from mild rhinitis to cardiac arrest and anaphylaxis.  
21 SLIT is widely considered to be safer than SCIT, with fewer adverse reactions and no deaths  
22 reported to date [1,10,26]. The safety of SCIT and SLIT is compared in Table 2.

#### 24 *Local reactions to immunotherapy*

26 Local reactions to SCIT are not uncommon, though the size of a local reaction is not standardised in  
27 the literature [6,12,18,27]. Nacaroglu *et al.* [27] found that HDM-SCIT was significantly more  
28 likely to precipitate a wide local reaction (>5cm) compared to grass, olive, or weed pollen SCIT.  
29 The reported rates of injections producing local reactions range from one per nine doses [6] to one  
30 per 260 doses [27]. Local reactions generally resolve spontaneously, though oral antihistamines can  
31 be used to good effect [12]. It is generally accepted that SLIT produces more local than systemic  
32 reactions [1,14,15,28] and that these predominantly consist of oral itching or taste sensation.

#### 34 *Systemic reactions to immunotherapy*

36 The most common systemic reaction reported in SCIT and SLIT are asthma symptoms [11-  
37 13,16,27]. Other reported systemic effects include fatigue [16], dizziness [19], migraine [19],  
38 arthralgia [7], rhinorrhoea [15] and sneezing [15].

40 The reported rates of SCIT-related systemic reactions vary from one reaction per 300 doses [29] to  
41 one per 3,300 doses [12]. The rate of near-death reactions has been reported at one in a million and  
42 the rate of death due to SCIT has previously been reported at one per 2.5 million doses [9] – no  
43 deaths due to SCIT have been reported since 2009, when a 43 year old male developed airway  
44 obstruction and cardiopulmonary arrest [30,31]. Uncontrolled asthma has been identified as an  
45 important risk factor for fatal and near-fatal reactions in HDM-SCIT [31].

47 Severe reactions are rare in SLIT, with a reported rate of one severe reaction per 384 treatment  
48 years, and no life-threatening reactions reported to date [1,28,32]. Two recent paediatric case  
49 reports detail two cases of severe reactions to HDM-SLIT. Galip and Bahceciler [33] reported on a  
50 five year old boy with HDM-allergic rhinitis who, during the up-dosing phase of SLIT, began  
51 vomiting intractably after five minutes of administration. The boy remained nauseated for 40

1 minutes. This occurred whenever the particular dose was administered. Blazowski [34] reported on  
2 a sixteen year old girl with HDM-AR and HDM-AA who, in her third year of maintenance SLIT,  
3 self-ceased her usual dose of 10 drops daily for three weeks, then self-administered 60 drops. This  
4 induced a severe systemic anaphylactic reaction, which required ICU support. These two reports  
5 demonstrate that severe systemic reactions to SLIT are possible, but that much of the risk of harm  
6 can be mitigated by observing the patient following SLIT administration.

7  
8 Further high-quality studies in both paediatric and adult populations have already been called for  
9 [1,10,24], and would help to define the safety of SLIT in the treatment of HDM-AA in children.

### 10 **SCIT vs. SLIT in the paediatric patient**

11  
12  
13 Due to the scarcity of studies specifically investigating paediatric patients, it is difficult to draw  
14 strong conclusions. Using what data is available (Table 1), it can be seen that SLIT, whilst  
15 significantly effective in its own right, may be less efficacious than SCIT. Further statistical testing  
16 to assess this question in this review is not possible as the raw data from these investigations are not  
17 available.

18  
19 Separate from the question of whether SCIT or SLIT is more effective in a head to head comparison  
20 is the question of practicality and ease of administration for patients. Following the first observed  
21 dose of SLIT, all subsequent doses can be self-administered at home [35] – this option is not  
22 available for SCIT. The increased safety profile of SLIT may also be more attractive to the parents  
23 of these patients. As reviewed earlier in two case studies [33,34]; however, SLIT does inherently  
24 have a risk of adverse reaction and should these complications arise in the home rather than the  
25 hospital, appropriate staff and treatment may not be close to hand. For these reasons, it is imperative  
26 to discuss with patients and their parents the risks and benefits to each of SLIT and SCIT and ensure  
27 they are well informed prior to starting any treatment.

### 28 **HDM-AIT in polysensitised patients**

29  
30  
31 Up to 30-80% of allergic patients worldwide are polysensitised [36]. There is concern regarding the  
32 safety and efficacy of single- or multiple-allergen immunotherapy in polysensitised patients, with a  
33 small number of recent studies addressing this. Nacaroglu *et al.* [27] retrospectively evaluated  
34 adverse reactions to single-allergen or multiple-allergen SCIT in children, 48.9% of whom were  
35 sensitised to HDM. They found that adverse reactions were significantly more common in patients  
36 undergoing polyallergenic SCIT compared to monoallergenic SCIT, and in HDM-SCIT compared  
37 to SCIT with grass, olive, or weed pollens; animal dander; or *Alternaria* fungi. There was no  
38 statistically significant difference in adverse reactions between monosensitised or polysensitised  
39 participants. Nelson [37] reviewed 13 studies utilising polyallergenic AIT and identified that  
40 simultaneous administration of multiple allergens is clinically effective, but called for more studies  
41 to draw stronger conclusions – a position recognised by a 2014 international consensus paper [38],  
42 which noted that virtually all published RCTs are of single-allergen AIT, but acknowledged that the  
43 evidence so far indicates that AIT is equally effective in monosensitised and polysensitised patients.  
44 Of note, while many RCTs of AIT do not exclude polysensitised participants, it is difficult to draw  
45 conclusions from these papers as results are typically not analysed or reported separately.  
46 Interestingly, it has been demonstrated that immunotherapy in monosensitised children can reduce  
47 the rate of development of subsequent polysensitivity [16].

### 48 **House dust mite immunotherapy in poorly-controlled or moderate-severe asthma**

1 The use of AIT in varying severity of disease has been heavily debated, guided by the risk of  
2 adverse reactions. The risk of allergic reaction suggests AIT may be better suited for mild AA, with  
3 a lower risk of anaphylaxis [39], while the risk of anaphylaxis implies AIT should be a final-line  
4 therapy in uncontrolled severe AA – the position of many governing bodies [38]. A number of  
5 studies have investigated the safety and efficacy of AIT in moderate to severe asthma [7,19,23,40].  
6

7 Gonzalez *et al.* [40] investigated SCIT in eight adults with severe persistent HDM-AA and  
8 observed few minor local reactions, no significant reactions and no late reactions. Blumberga *et al.*  
9 [23] reported on SCIT in 42 adults with moderate to severe HDM-AA, finding mixed efficacy, but  
10 generally safe outcomes: 38% of SCIT participants developed mild systemic or non-life-threatening  
11 reactions, one severe local reaction was treated with oral ICS and nebulised  $\beta$ 2-agonists and there  
12 were no life-threatening reactions.  
13

14 De Blay *et al.* [19] analysed SLIT in 604 adults. They found that medication and symptom scores  
15 were significantly more improved in patients with partly-controlled asthma compared to patients  
16 with non-severe asthma. While there was a dose-dependent increase in adverse reactions, the  
17 majority were mild or moderate. Virchow *et al.* [7] reported comprehensive safety data of SLIT in  
18 834 adults with partly-controlled asthma. Of participants, 30.6% experienced adverse reactions  
19 compared to 3.4% of non-SLIT participants: 74.1% of these were local reactions and while 3%  
20 were considered severe, none compromised the airway. There were no severe or life-threatening  
21 systemic reactions.  
22

23 Uncontrolled asthma is an important risk factor in the development of adverse reactions to AIT  
24 [31]. The literature demonstrates that AIT in poorly-controlled or severe asthma enacts similar or  
25 greater efficacy than in mild asthma, without a significantly higher risk of severe adverse reactions  
26 – a position that is at odds with current guidelines.  
27

### 28 **Current guidelines for immunotherapy to treat allergic asthma**

29  
30 Many guidelines exist to direct AIT in the management of AA, with most recommending a cautious  
31 approach. The 2016 Australasian Society of Clinical Immunology and Allergy recommends that  
32 AIT can be considered based on clinical judgement when symptoms are severe, the allergen is  
33 difficult to avoid and medications are ineffective or intolerable.  
34

### 35 **Future directions for allergen immunotherapy**

36  
37 In addition to ongoing trials to clarify the efficacy and safety of SCIT and SLIT, there is ongoing  
38 research into adjuvants to increase tolerability and into alternative immunotherapy methods [35].  
39 Adjuvants such as toll-like receptor 4 and 9 agonists increase Th1 and Treg responses, though  
40 clinical trials have shown inconsistent efficacy. Depots of allergens with a second immunogenic  
41 material such as alum or calcium phosphate have been used in Europe, though further assessment is  
42 needed before more widespread use. Alternative delivery methods, including epicutaneous and  
43 intralymphatic methods are also being investigated, though to date no studies for HDM-AR or AA  
44 have been performed. Further investigations into alternate hypoallergenic allergens and the co-  
45 administration of monoclonal antibodies with the allergen are also underway. As these studies  
46 progress, more information about the various options, efficacy, and safety of immunotherapy will  
47 become apparent.  
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49

1 **Conclusion**

2

3 Allergen immunotherapy has the potential to change the natural history of allergic asthma [4] and as  
4 such, should be considered in the management of patients with AA. The literature suggests that AIT  
5 is superior to placebo in improving asthma symptoms, asthma-free rates, and medication use [6,11-  
6 15]. Adverse reaction rates vary between SCIT and SLIT, but serious or life-threatening reactions  
7 are rare [1,12,28,29,32], with no SLIT-related death reported [10] and no SCIT-related death since  
8 2009 [30,31]. Ongoing concerns regarding safety remain, and the superiority of SCIT or SLIT has  
9 not been ascertained. More research is required to investigate AIT in the polysensitised and severe  
10 or uncontrolled asthma populations, with current evidence suggestive of a role for both SLIT and  
11 SCIT in these patients.

12

13 The current lack of high-powered well-designed studies in specific populations such as paediatric,  
14 HDM-AA, or severe asthma, renders analysis by systematic review or meta-analysis problematic.  
15 Further studies into the efficacy and safety of HDM-AIT in these specific populations will make the  
16 role of treatment clearer. AIT can facilitate a significantly improved quality of life in the  
17 appropriate patient: our role as clinicians will be to identify these patients.

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1 **Conflicts of Interest**

2

3 There are no conflicts of interest to declare.

4

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CORRECTED PROOF

1 **Tables**

2

3 **Table 1: Comparison of efficacy of subcutaneous immunotherapy and sublingual**  
 4 **immunotherapy. Referenced papers studied three years of monoallergenic house dust mite (HDM)**  
 5 **immunotherapy in HDM-allergic populations not controlled for polysensitivity.**

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Parameter	Subcutaneous immunotherapy (SCIT)	Sublingual immunotherapy (SLIT)
Significantly decreased asthma symptom score, compared to baseline	70-100% of participants [12] 63-86% improvement [11,13,17] -1.4 to 2.1 points (four-point scale) [6]	64-83% of participants [14] 44-86% improvement [11,13]
Significantly improved medication scores (undefined), compared to baseline	100% of participants [12] 82% improvement (undefined scale) [11,13]	Trends of improvement [14] 64% improvement (undefined scale) [11,13]
Significantly decreased inhaled corticosteroid use, compared to baseline	- 125.4 µg [6]	Decreased requirement from 12 to 7 months per year [15] - 120 µg [15]
Significantly higher inhaled corticosteroid cessation rate compared to control	28.9% [6]	52% [15]
Significantly increased peak expiratory flow, compared to baseline	+ 28 L/min [6]	No significant results
Asthma-free rates compared to baseline	62.7-74.6% [12,17]	No significant results
Other	Significantly decreased likelihood of developing additional sensitisations [16]	-
Papers that studied fewer than three years of immunotherapy	Observed fewer, or non-significant, improvements in clinical and medication outcomes [5,18]	Observed fewer, or non-significant, improvements in clinical and medication outcomes [7,19-21]

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1 **Table 2: Comparison of safety of subcutaneous immunotherapy and sublingual immunotherapy.**  
 2 *Referenced papers studied three years of monoallergenic house dust mite (HDM) immunotherapy in*  
 3 *HDM-allergic populations not controlled for polysensitivity.*

Adverse reaction	Subcutaneous immunotherapy (SCIT)	Sublingual immunotherapy (SLIT)
<i>Local Reactions</i>		
Local skin / oral reaction	Occurred, not quantified [11,13,16,18]	3.3-15.9% of participants [14,15]
	0.38-11.6% of doses [6,17,27,29]	“Common”, not quantified [19,21]
	11.9% of participants [29]	
Other oral reaction	–	One case – moderate laryngeal oedema, did not compromise airway [7]
<i>Systemic Reactions</i>		
Unspecified	0.06-4.7% of doses [6,8,23,27,29]	3.1% of participants [14]
	3.7-24% of participants [8,23,29]	
Fatigue	“Common”, not quantified [16]	–
Dizziness / migraine	–	1.9% of participants [19]
Arthralgia	–	0.1% of participants [7]
Rhinorrhoea / sneezing	–	1.1% of participants [15]
Asthma / shortness of breath	0.035-0.067% of doses [12,17,27]	0.1% of participants [7]
	2.4-6.5% of participants [11,13,16,27]	
Anaphylactoid / anaphylaxis (not fatal)	0.8% of participants [16]	One case (see text) [34]
Death	One per 2.5 million doses [9]	Nil reported to date

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